



Assessment of Risk to Human Subjects in Research

The Southern Baptist Theological Seminary

Instructions

Read each statement carefully, then mark with an “X” either the non-shaded or not applicable (NA) risk level response for each item. Do not use “Y” or “N” as your response options.

RISK LEVELS				RISK AREAS
High	Med	Low	NA	
				Psychological Risk – mental stress and/or emotional distress
				Subjects are to reflect upon their own behavior, values, relationships, or person in such a way that they are <i>likely to be affected</i> emotionally or psychologically over the short and/or long term.
				Subjects will reveal <i>highly personal information</i> in areas such as significant relationships, trauma, sexuality, potentially immoral, unethical, or illegal behavior.
				Subjects will give opinions or viewpoints on <i>highly charged issues</i> including but not limited to political, emotional, cultural, spiritual, or psychological matters.
				Subjects are to reflect upon their own behavior, values, relationships, or person in such a way that <i>might result</i> in anxiety, regrets, concerns, afterthoughts, or reactions after the procedure is completed.
				Subjects will reveal <i>generally accepted personal information</i> regarding individual viewpoints, background, behaviors, attitudes, or beliefs.
				Subjects will give opinions or viewpoints on <i>sensitive matters</i> including but not limited to political, emotional, cultural, spiritual, or psychological matters.
				Subjects are to give <i>basic identifying information</i> such as age, gender, ethnicity, and other general questions regarding non-personal information.
				Subjects will give opinions or viewpoints on <i>common-place matters</i> such as locality, general trends, or other benign topics.
				<i>Total the number of responses in each column for this area and enter here.</i>
				Sociological Risk – relational stress and/or positional distress
				Subjects may experience immediate and/or long-term employment, political, legal, economic, and/or social consequences as a result of participating in the study.
				Subjects are required to reflect upon their own behavior, values, relationships, or person in such a way that <i>might result</i> in anxiety or concern regarding themselves in relationship to other persons and/or social groups.
				Subjects are to give opinions or viewpoints on <i>common-place social relationships</i> such as community characteristics, census-type data, general trends, or other benign topics.
				<i>Total the number of responses in each column for this area and enter here.</i>
				Physiological Risk – bodily harm to self and/or bodily harm to others
				Subjects <i>may experience or be exposed to bodily harm</i> as a result of the research and/or research methodology.
				Subjects <i>may experience or be exposed to bodily harm</i> as a result of participating in the gathering of data, such as entering high risk environments.
				The subject <i>may become tired or weakened physically or mentally</i> as a result of the research and/or research methodology.
				The subject <i>may become impatient</i> as a result of the time involved in the completion of the research and/or research methodology.
				The subject <i>may become impatient</i> as a result of environmental conditions endured in the completion of the research and/or research methodology.
				<i>Total the number of responses in each column for this area and enter here.</i>

Instructions

Read each statement carefully, then mark with an “X” either the non-shaded or not applicable (NA) risk level response for each item. Do not use “Y” or “N” as your response options.

RISK LEVELS				RISK AREAS
<i>High</i>	<i>Med</i>	<i>Low</i>	<i>NA</i>	Spiritual Risk – individual stress and/or religious community distress
				Subjects may experience immediate and/or long term <i>negative consequences</i> in their relationship to a religious community as a result of participating in the study.
				Subjects are required to reflect upon their own behavior, values, or relationships in such a way that <i>might result</i> in anxiety, regrets, concerns, and/or <i>afterthoughts</i> regarding their spirituality, or relationship with God.
				Subjects are required to reflect upon their own behavior, values, or relationships in such a way that <i>might result</i> in anxiety, regrets, concerns, and/or <i>afterthoughts</i> regarding their relationship with a religious community, and/or other spiritual matters.
				Subjects are to give opinions or viewpoints on <i>common-place spiritual issues</i> such as religious community belief systems and practices, religious community census-type characteristics, general religious community trends, or other benign topics.
				<i>Total the number of responses in each column for this area and enter here.</i>
<i>High</i>	<i>Med</i>	<i>Low</i>	<i>NA</i>	Vulnerability Risk – subjects under age 18 or in a vulnerable population
				Subjects will be under the age of 18 regardless of academic standing.
				Subjects will be members of a vulnerable population and thus unable to make their own decisions regardless of their age.
				<i>Total the number of responses in each column for this area and enter here.</i>
<i>High</i>	<i>Med</i>	<i>Low</i>	<i>NA</i>	Scoring – Levels of risk by area
				Psychological Risk totals from previous page
				Sociological Risk totals from previous page
				Physiological Risk totals from previous page
				Spiritual Risk totals from this page
				Vulnerability Risk totals from this page
				Total – Summary of levels of risk (see <i>Interpretation of Total</i> below)

Interpretation of Total

1 or more as High: If you scored one or more items as “High,” you must follow the *High Risk Informed Consent* protocols from the *Risk Assessment and Informed Consent Guide*.

0 High, 1 or more as Medium: If you scored no items as “High,” but one or more items as “Medium,” you must follow the *Medium Risk Informed Consent* protocols from the *Risk Assessment and Informed Consent Guide*.

0 High, 0 Medium, 1 or more as Low: If you scored no items as “High” or “Medium,” but one or more items as “Low,” you must follow the *Low Risk Informed Consent* protocols from the *Risk Assessment and Informed Consent Guide*.

0 High, 0 Medium, 0 Low, 1 or more as Not Applicable: If you scored no items as “High,” “Medium,” or “Low,” but one or more items as “Not Applicable,” you are encouraged to follow the *Low Risk Informed Consent* protocols from the *Risk Assessment and Informed Consent Guide*.

END

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